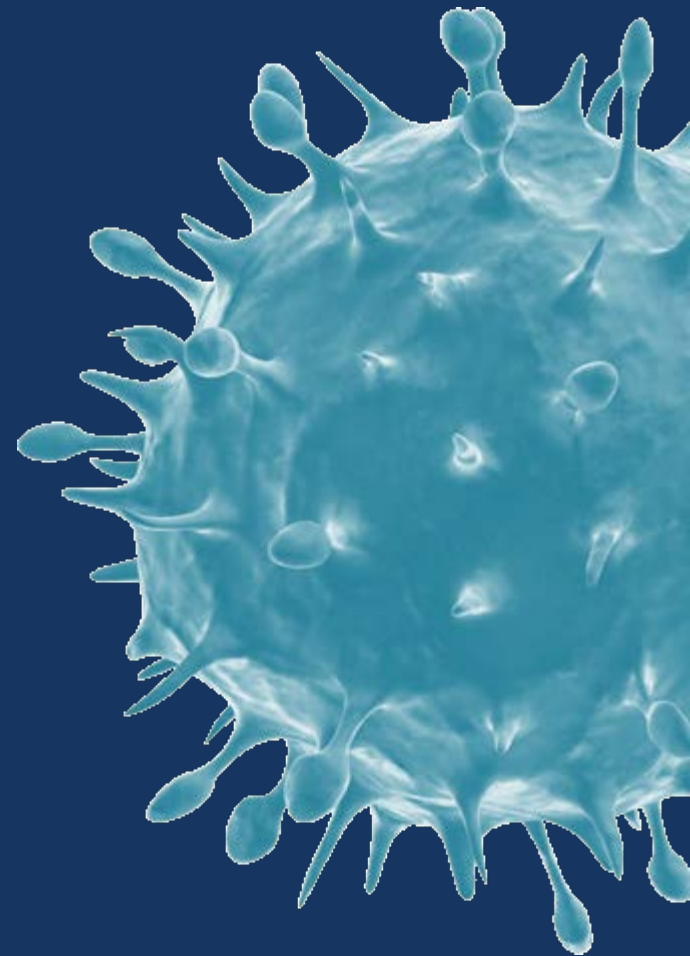


Human T cell and Antibody Responses to GEN-003, a Novel HSV-2 Therapeutic Vaccine

Jessica Baker Flechtner, PhD

**International Herpesvirus Workshop; Poster 2_09
Kobe, Japan 19-23 July 2014**



Prior Vaccine Candidates Did Not Meet Clinical Endpoints

| Company | Type | Formulation | Indication | Outcome |
|---------|---------|-------------------|-------------|--|
| GSK | DISC* | gH deletion virus | Therapy | No difference from placebo |
| Chiron | Subunit | gB2 + gD2 + MF59 | Therapy | No effect on recurrence rate, Shortened symptom duration |
| Chiron | Subunit | gB2 + gD2 + MF59 | Prophylaxis | No difference from placebo |
| GSK | Subunit | gD2 + alum + MPL | Prophylaxis | No difference from placebo |

*Disabled Infectious Single Cycle virus



JAMA, July 28, 1999—Vol 281, No. 4 **379**

Herpes Simplex Virus Vaccines— Why Don't Antibodies Protect?

John R. Mascola, MD

MOST EFFECTIVE VIRAL VACCINES WORK, AT LEAST in part, by inducing antibodies capable of neutralizing the invading virus.¹⁻³ Examples among licensed human vaccines include measles, po-

ther by preventing infection (sterilizing immunity) or by ameliorating HSV-2-related disease, thereby making the infected individual less contagious. The article by Corey et al⁸ describes 2 well-executed placebo-controlled multicenter clinical trials designed to test the efficacy of a recombinant subunit vaccine containing 2 major HSV-2 surface pro-

“It appears that effective protection against HSV-2 will require more than serum neutralizing antibodies.”

NEWS OF THE WEEK

IMMUNOLOGY

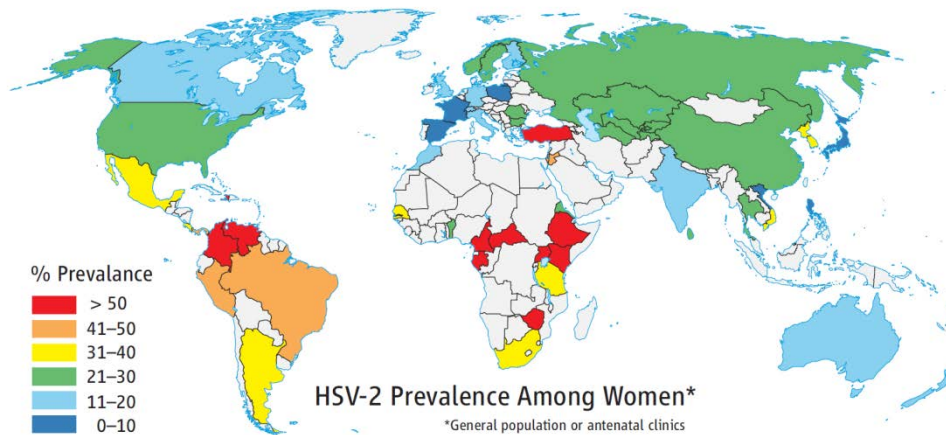
Painful Failure of Promising Genital Herpes Vaccine

A vaccine designed to ward off genital herpes has failed in a large clinical trial, abruptly ending the product's seemingly promising future. After 8 years of study in more

Rixensart, Belgium, the vaccine contains a protein from HSV-2 mixed with a novel adjuvant, or immune system stimulator, triggering antibodies that researchers hoped could

the new negative data. "The inconsistency between the trials is really quite disconcerting," says Corey.

When NIAID and GSK revealed on



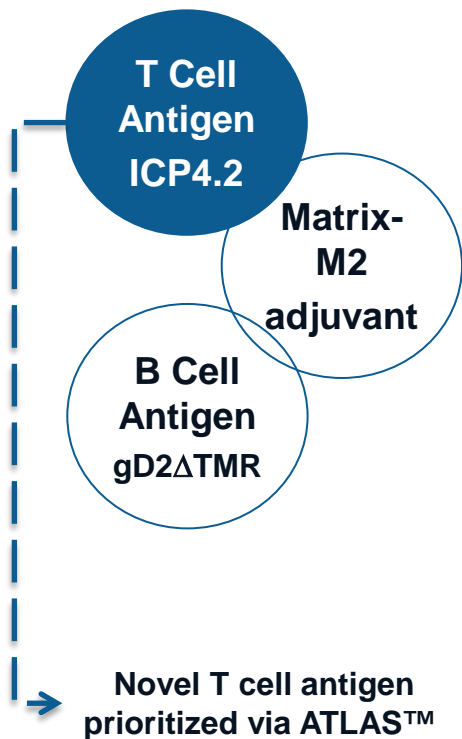
“...antibodies...alone are not the answer. Next generation vaccines...should also dispatch killer cells to clear cells that HSV-2 manages to infect.”

15 OCTOBER 2010 VOL 330 SCIENCE www.sciencemag.org

Published by AAAS

GEN-003: A Novel Therapeutic Vaccine Candidate

GEN-003



GEN-003 Proposition

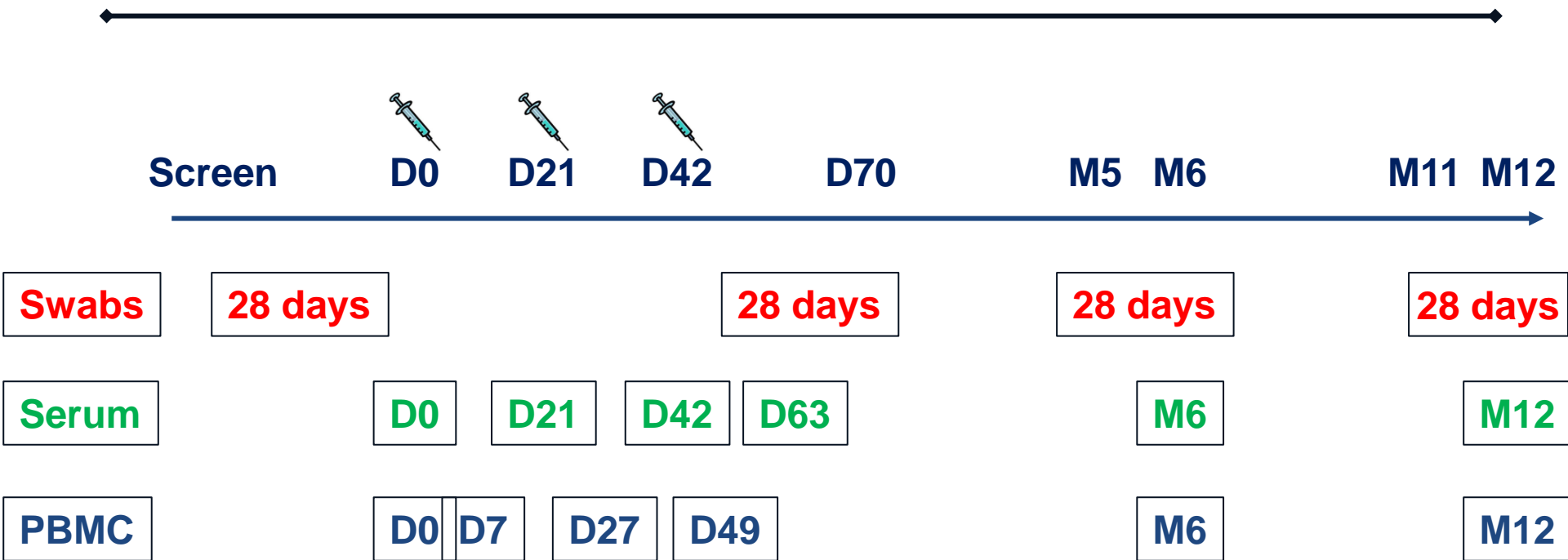
- Reduce viral shedding and transmission risk
- Ameliorate symptoms
- Convenient dosing regimen
- Novel mechanism of action

Trial Design and Objectives

- **Patients: 143 with HSV-2 infections**
 - Moderate-to-severe infections (3-9 outbreaks/year)
- **Design:**
 - Double-blind, placebo-controlled
 - ~30 subjects in each of 5 groups:
 - Placebo
 - Proteins only
 - GEN-003 (10 µg per protein + 50 µg adjuvant)
 - GEN-003 (30 µg per protein + 50 µg adjuvant)
 - GEN-003 (100 µg per protein + 50 µg adjuvant)
- **Endpoints:**
 - Safety, tolerability, immune response
 - Viral shedding rate
 - Genital lesion rate

Immunogenicity Assays and Sample Collection

- T cell Responses – PBMC IFN γ ELISpot
- Antibody Responses –
 - IgG ELISA
 - Colorimetric Neutralization assay



Demographics of Study Participants

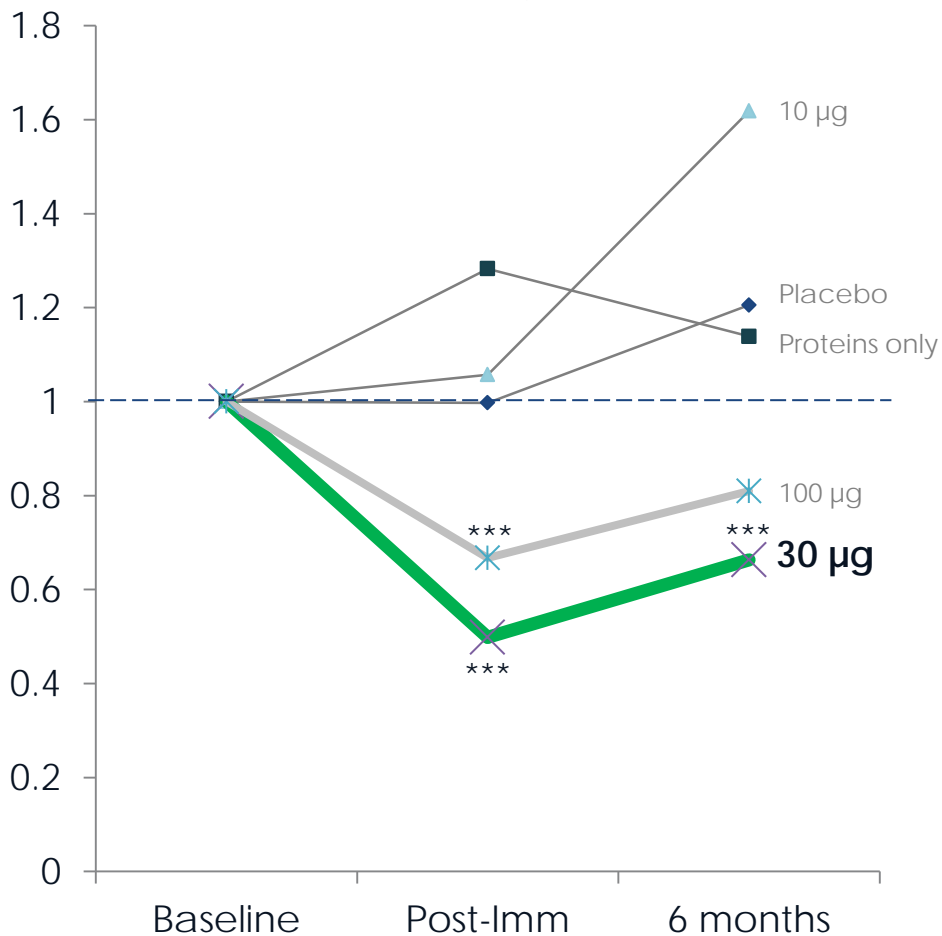
| | Placebo | Proteins Only | GEN-003 | | | Overall |
|---|-------------|---------------|--------------|--------------|--------------|--------------|
| | | | 10µg | 30µg | 100µg | |
| N | 28 | 28 | 31 | 29 | 27 | 143 |
| No. of women (%) | 17 (61) | 17 (61) | 21 (68) | 18 (62) | 15 (56) | 88 (62) |
| Mean Age (Range) | 37 (23-50) | 36 (20-47) | 36 (24-49) | 38 (22-50) | 37 (24-50) | 37 (22-50) |
| Race n (%) | | | | | | |
| White | 17 (61) | 15 (54) | 23 (74) | 16 (55) | 17 (63) | 88 (62) |
| Black | 10 (26) | 8 (29) | 5 (16) | 10 (35) | 8 (30) | 41 (29) |
| Asian | - | 1 (4) | - | 1 (3) | - | 2 (1) |
| Multiracial | - | 3 (11) | 3 (10) | - | 1 (4) | 7 (5) |
| Other | 1 (4) | 1 (4) | - | 2 (7) | - | 4 (3) |
| Mean years since diagnosis (range) | 9 (1-26) | 9 (0-24) | 10 (1-33) | 10 (1-25) | 10 (2-33) | 10 (0-33) |
| Mean lesion episodes in last 12 months* (range) | 5 (3-8) | 5 (3-9) | 5 (3-8) | 5 (3-9) | 5 (3-9) | 5 (3-9) |
| #HSV-1 positive^ (%) | 11 (39) | 16 (57) | 15 (48) | 5 (17) | 14 (52) | 61 (43) |

*subject reported

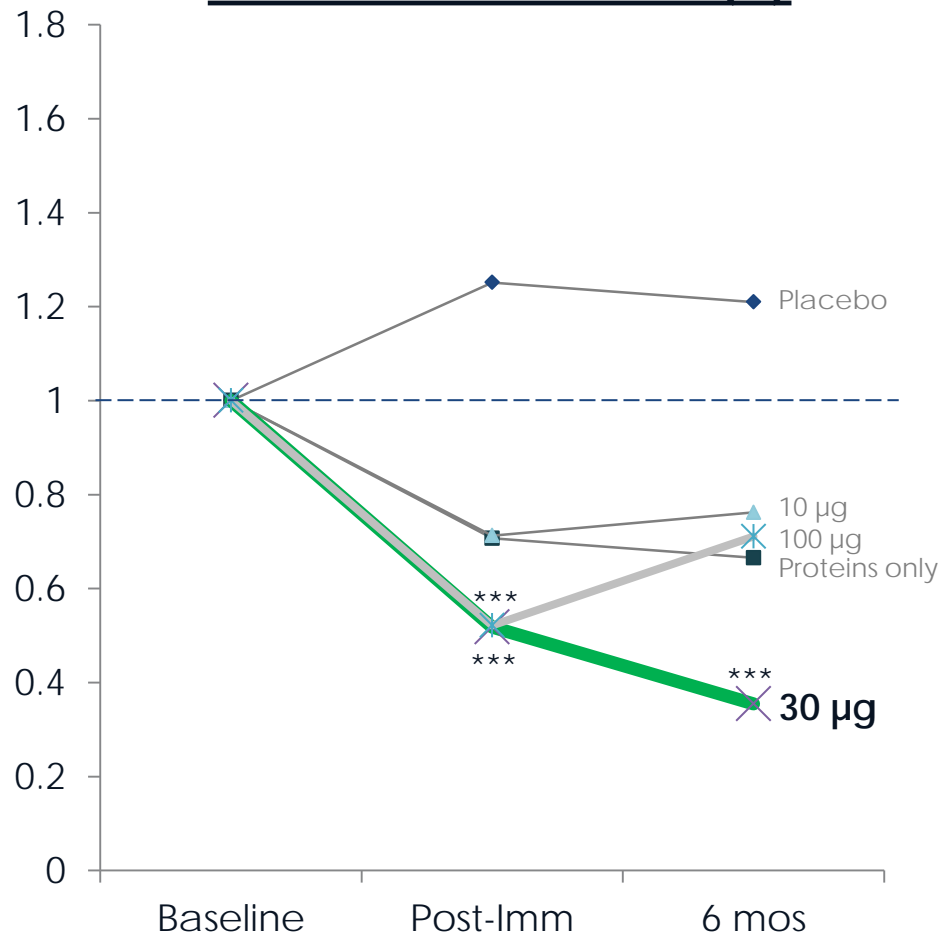
^seropositive; genital HSV-1 infection excluded

GEN-003: Statistically Significant, Durable Impact on HSV-2

Viral Shedding Rate (a)



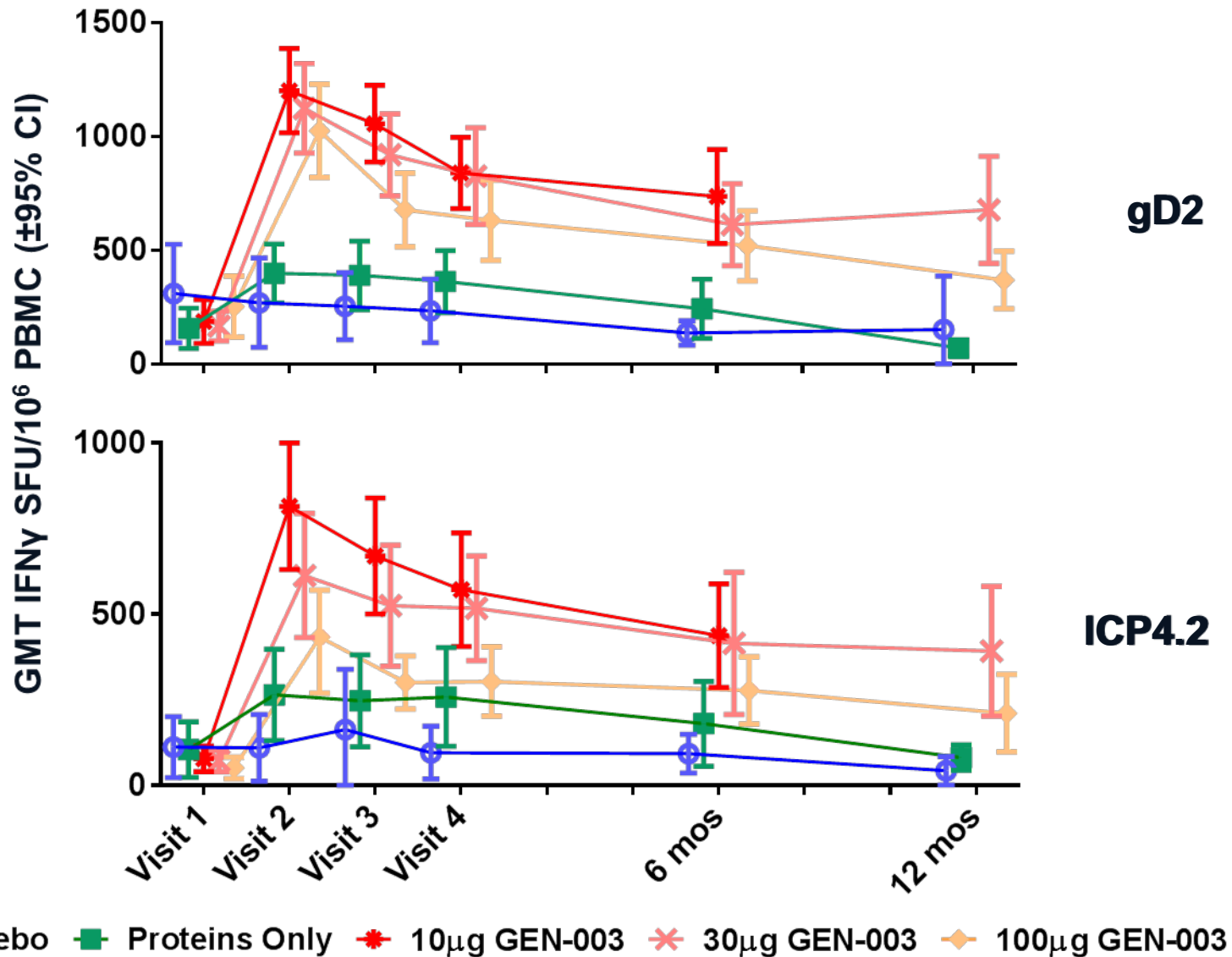
Genital Lesion Rate (a)



(a) Normalized so that rate at baseline = 1

*** = $p < 0.001$ Poisson Mixed Model Analysis

T cell Responses are Durable and Adjuvant-Dependent



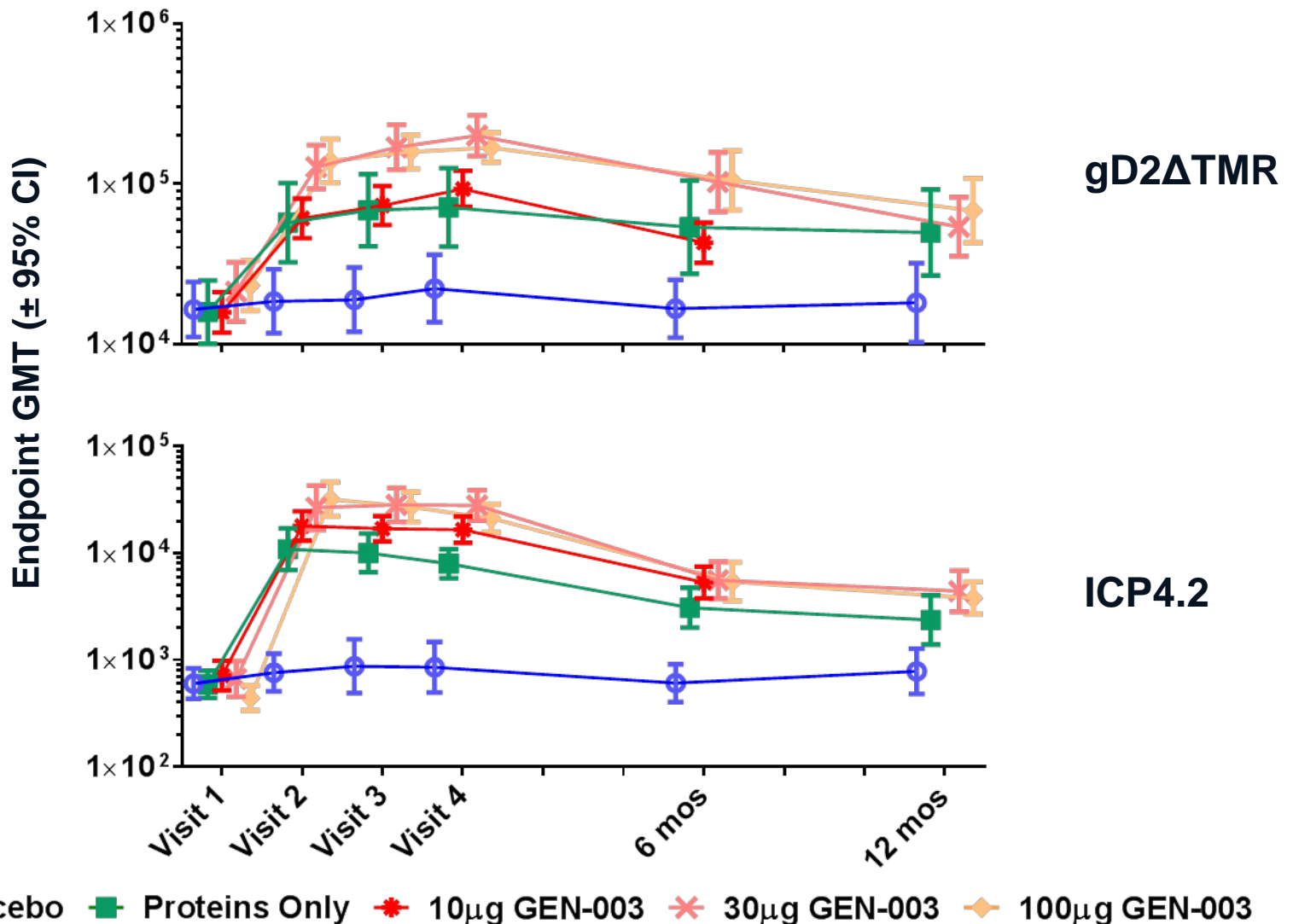
At Effective Dose, 80-90% of Subjects Defined as Responders

| | | | IFN γ ELISpot Responders* (%) | | | | |
|---------------|---------------|-------------|--------------------------------------|-----|-----|-------|--------|
| | | | D7 | D28 | D49 | 6 mos | 12 mos |
| gD2 | GEN-003 | 10 μ g | 80 | 84 | 93 | 72 | NT |
| | | 30 μ g | 79 | 81 | 68 | 80 | 77 |
| | | 100 μ g | 70 | 59 | 52 | 50 | 50 |
| | Proteins Only | | 54 | 60 | 46 | 39 | 14 |
| | Placebo | | 0 | 0 | 0 | 0 | 0 |
| ICP4.2 | GEN-003 | 10 μ g | 96 | 92 | 91 | 80 | NT |
| | | 30 μ g | 85 | 88 | 91 | 85 | 82 |
| | | 100 μ g | 85 | 78 | 78 | 77 | 63 |
| | Proteins Only | | 50 | 64 | 54 | 44 | 21 |
| | Placebo | | 0 | 0 | 0 | 5 | 0 |

*3.5-fold increase over baseline, based on a natural history study

NT = not tested

IgG Titers are Dose-Dependent and Long-Lived, with a Modest Adjuvant Benefit



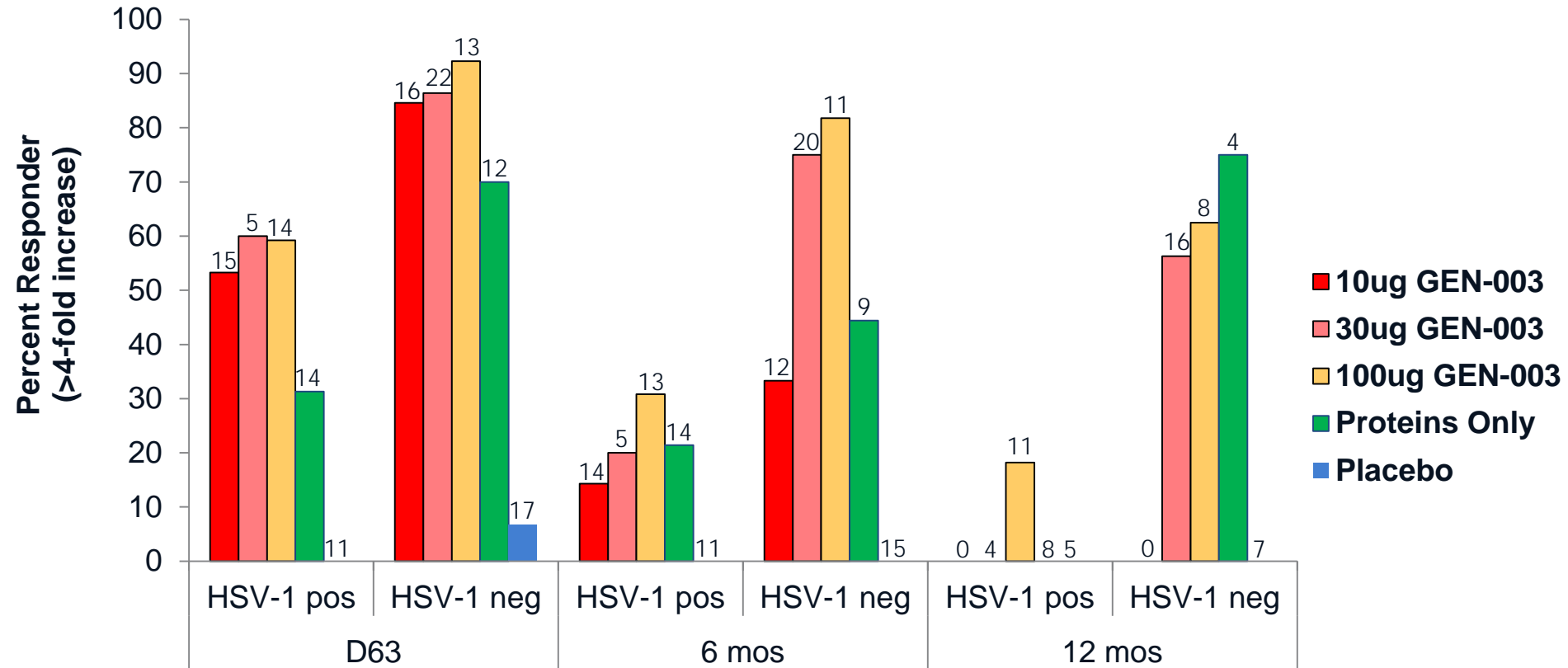
IgG Responders Increase with Increasing Dose

| | | IgG ELISA Responders* (%) | | | | | |
|----------------|----------------|---------------------------|-----|-----|-------|--------|----|
| | | D21 | D42 | D63 | 6 mos | 12 mos | |
| gD2ΔTMR | GEN-003 | 10μg | 39 | 54 | 32 | 23 | NT |
| | | 30μg | 66 | 75 | 82 | 64 | 45 |
| | | 100μg | 56 | 89 | 81 | 54 | 37 |
| | Proteins Only | | 41 | 43 | 46 | 30 | 25 |
| | Placebo | | 4 | 0 | 4 | 0 | 0 |
| ICP4.2 | GEN-003 | 10μg | 100 | 100 | 100 | 85 | NT |
| | | 30μg | 100 | 100 | 100 | 80 | 90 |
| | | 100μg | 100 | 100 | 100 | 96 | 95 |
| | Proteins Only | | 89 | 89 | 89 | 52 | 58 |
| | Placebo | | 4 | 7 | 8 | 4 | 8 |

*4-fold increase over baseline

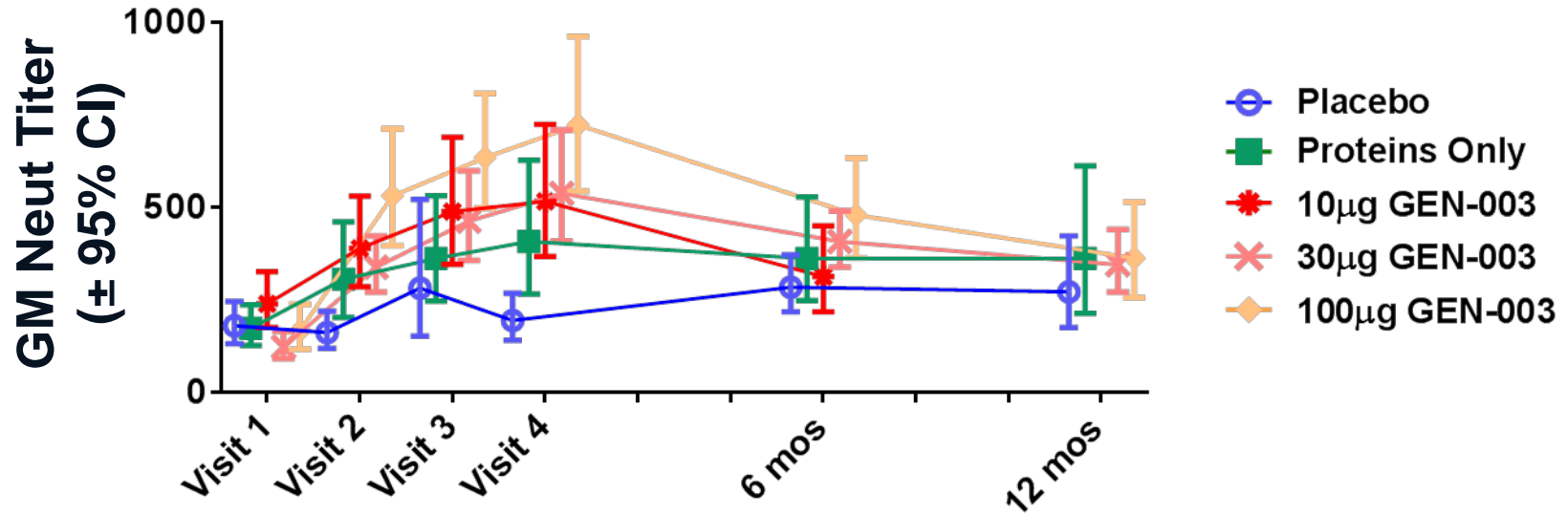
NT = Not Tested

Magnitude and Durability of gD2 IgG Responses Are Influenced by HSV-1 Serostatus



Numbers above bars indicate subject N

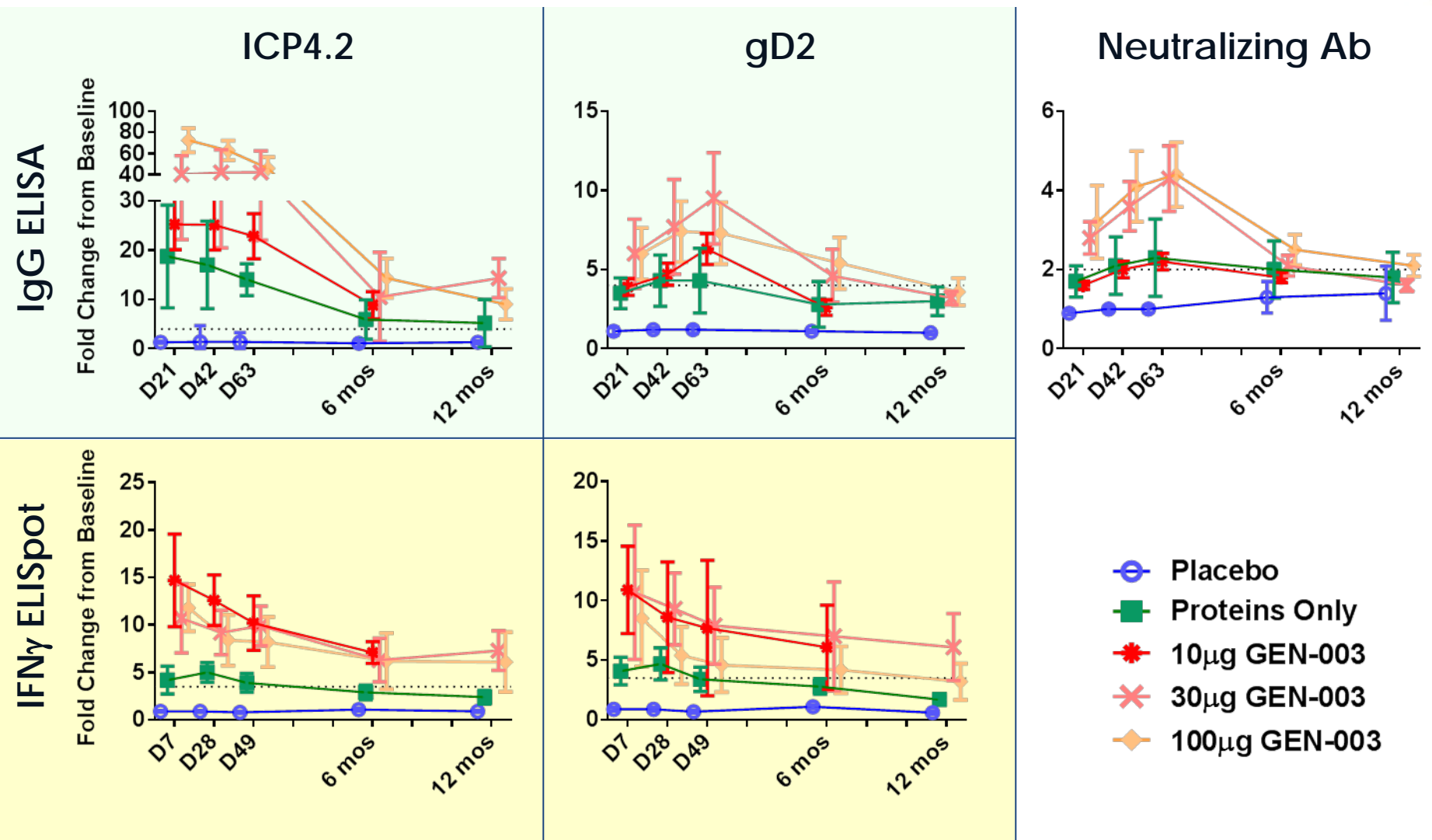
50% Neutralization Titters are Dose-Dependent



| | | Neutralizing Ab Responders* (%) | | | | |
|-------|---------------|---------------------------------|-----|-----|-------|--------|
| | | D21 | D42 | D63 | 6 mos | 12 mos |
| Neuts | GEN-003 10µg | 23 | 50 | 43 | 32 | NT |
| | GEN-003 30µg | 72 | 89 | 93 | 40 | 25 |
| | GEN-003 100µg | 70 | 96 | 89 | 67 | 37 |
| | Proteins Only | 30 | 43 | 44 | 30 | 33 |
| | Placebo | 0 | 0 | 4 | 12 | 25 |

*2-fold increase over baseline

Fold Change From Baseline Analysis



Conclusions

- Immune responses are detectable at baseline and increase with immunization
 - T cell responses are highest after 1st dose
 - Ab responses are maximal after the 3rd dose for gD2 and neuts, 1st dose for ICP4.2
- All responses remain significantly above baseline at 12 months
- Matrix M-2 is critical for potentiating the T cell response, less important for antibody responses
- Fold change from baseline for antibody and T cell responses were greatest against ICP4.2, likely due to the higher baseline responses to gD2
- HSV-1 serostatus impacted the magnitude and duration of gD2 IgG titers but not other measures of immunity

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